



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

RECEIVED
MAY 12 2003
TECHNOLOGY CENTER 2800

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450, on this <u>6th</u> day of <u>May</u>, 2003.</p> <p>By: <u>Brian N. Tufte</u></p>
--

Dear Sir:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

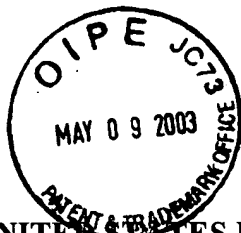
application not more than three months prior to the filing of the statement. 37 CFR
§1.97(e)(1).

Respectfully submitted,



Dated: May 6, 2003

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

RECEIVED
MAY 12 2003
TECHNOLOGY CENTER 2800

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,
on this 6th day of May, 2003.

By: _____

Brian N. Tufte

Dear Sirs:

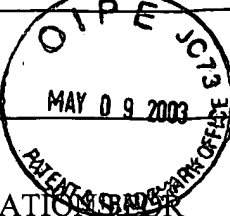
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: May 6, 2003

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

FORM PTO-1449				Atty. Docket No.: 1076.1101107	Serial No.: 10/075,488
LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Applicant: Brian N. Tufte	
				Filing Date: February 12, 2002	Group Art: 2875

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,196,265	07/20/1965	Schneider			
	3,317,722	05/02/1967	F. L. Whitney			
	3,639,748	02/01/1972	Pearson et al.			
	4,107,767	08/15/1978	Anquetin			
	4,213,644	07/22/1980	Scrivo et al.			
	4,376,966	03/15/1983	Tieszen			
	5,434,013	07/18/1995	Fernandez			
	6,113,246	09/05/2000	Rub			
	6,461,028 B1	10/08/2002	Huang			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.